



PATENT

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Date of Signature and Deposit:

6/6/04

John T. Pienkos, Applicant

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Pienkos, John T.
Serial No.: 09/724,744
Filed: November 28, 2000
For: METHOD AND SYSTEM FOR FACILITATING THE TRANSFER OF
INTELLECTUAL PROPERTY
Grp. Art Unit: 3625

**SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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JUN 17 2004

Dear Sir:

GROUP 3600

Subsequent to certain communications that the Applicant had with the Examiner concerning the above-identified Application on or around March 6, 2004, the Applicant on March 6, 2004 performed certain additional searching. This searching resulted in the Applicant's identification of certain additional documents that the Applicant is submitting herewith for consideration by the Examiner.


In particular, the following are submitted in the above-identified Application in compliance with 37 CFR 1.97 & 1.98:

- ☐ (1) Pursuant to 37 CFR 1.97(b)
(within 3 months of filing or prior to mailing of 1st Office Action)
- ☒ (2) Certification Pursuant to 37 CFR 1.97(c)
(before Final Office Action or Allowance)
- ☐ (3) Fee Payment Pursuant to 37 CFR 1.97(c)
(before Final Office Action or Allowance)
- ☐ (4) Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d) (before issue fee payment)
- ☒ (5) A list of documents on Form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☐ (6) 37 CFR 1.97(b) (within 3 months of filing or prior to 1st Office Action)
- ☒ (7) 37 CFR 1.97(c) (before Final Office Action or Allowance, whichever is earlier); and
- ☒ (8) The required certification made in item 11 below; or
- ☐ (9) The \$240.00 fee specified in 36 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ (10) 37 CFR 1.97(d) (before issue fee payment); and
- [a] (This is a petition for consideration of the subject Information Disclosure Statement. The petition fee \$180.00 required by 37 CFR 1.17(p) is authorized in item 14 below.
- [b] The required Certification is stated in item 11 below.
- ☒ (11) Certification
- ☐ (12) Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☒ (13) No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ (14) No fees are believed to be due with respect to the submission of this Supplemental Information Disclosure Statement.

Respectfully submitted,

By: 
John T. Pienkos
(414) 228-6881

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	09/724,744
Filing Date	November 28, 2000
First Named Inventor	Pienkos, John T.
Art Unit	3625
Examiner Name	Nguyen, C.
Attorney Docket Number	—

Sheet	<u>1</u>	of	<u>1</u>
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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"PLX Announces Plans to Revolutionize \$3.5 Billion Patent Industry Through Global, Internet-Based Patent Auction Market," press release dated January 22, 1999, obtained at < http://www.eurekaalert.org/ pub-releases/1999-01/FI-PAPT-220199.php , printed on 3/6/04, 2 pages.	
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Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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